



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/588,845	12/20/2006	Richard L. Friedman	293875US96PCT	1053
22850 7590 03/23/2009 OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314			EXAMINER DEVI, SARVAMANGALA J N	
			ART UNIT 1645	PAPER NUMBER
			NOTIFICATION DATE 03/23/2009	DELIVERY MODE ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com  
oblonpat@oblon.com  
jgardner@oblon.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/588,845	<b>Applicant(s)</b> FRIEDMAN ET AL.	
	<b>Examiner</b> S. Devi, Ph.D.	<b>Art Unit</b> 1645	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 11 June 2007.
- 2a) ☐ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-41 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) \_\_\_\_\_ is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 1-41 are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **Lack of Unity**

**1)** Claims 1-41 are under prosecution.

**2)** As provided in 37 CFR 1.475(a), a national stage application shall relate to one invention only or to a group of inventions so linked as to form a single general inventive concept (“requirement of unity of invention”). Where a group of inventions is claimed in a national stage application, the requirement of unity of invention shall be fulfilled only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features. The expression “special technical features” shall mean those technical features that define a contribution which each of the claimed inventions, considered as a whole, makes over the prior art.

The determination whether a group of inventions is so linked as to form a single general inventive concept shall be made without regard to whether the inventions are claimed in separate claims or as alternatives within a single claim. See 37 CFR 1.475(e).

**3)** As provided in 37 CFR 1.475(b), a national stage application containing claims to different categories of invention will be considered to have unity of invention if the claims are drawn only to one of the following combinations of categories:

- (1) A product and a process specially adapted for the manufacture of said product; or
- (2) A product and process of use of said product; or
- (3) A product, a process specially adapted for the manufacture of the said product, and a use of the said product; or
- (4) A process and an apparatus or means specifically designed for carrying out the said process; or
- (5) A product, a process specially adapted for the manufacture of the said product, and an apparatus or means specifically designed for carrying out the said process. Otherwise, unity of invention might not be present. See 37 CFR 1.475(c).

**4)** Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

- I. Claims 1-5 and 14-18, drawn to an isolated and purified pili from *M. tuberculosis*.
- II. Claim 6, drawn to a method of producing an isolated and purified pili from *M. tuberculosis*.
- III. Claims 7 and 27-30, drawn to an antibody having affinity and specificity for pili from *M. tuberculosis*.
- IV. Claims 8-10 and 31-34, drawn to a method of inducing an immune response by administering *M. tuberculosis* pili.
- V. Claims 11-13 and 35-38, drawn to a method of detecting a *M. tuberculosis* infection by assaying a body fluid for the presence of an antibody to *M. tuberculosis* pili.
- VI. Claims 19-22, drawn to an isolated and purified nucleic acid encoding a *M. tuberculosis* pili.
- VII. Claims 23-26, drawn to a method of transforming a host cell with a nucleic acid to produce a *M. tuberculosis* pili.
- VIII. Claims 39-41, drawn to a method of inducing an immune response against *M. tuberculosis* by administering a nucleic acid encoding a pilin from *M. tuberculosis*.

**5)** Inventions I-VIII do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons. The special technical feature of the first claimed invention is an isolated and purified pili obtained from polypeptide *Mycobacterium tuberculosis* as claimed in claim 1, or one of the amino acid sequences as claimed in claims 14-16, or a peptide fragment thereof as claimed in claims 17 and 18. However, such an isolated and purified polypeptide or a peptide fragment thereof was already disclosed in the art at the time of the invention. For example, WO 97/09429 (CORIXA CORPORATION – Applicants’ IDS) taught an isolated and purified soluble *Mycobacterium tuberculosis* polypeptide antigen comprising the instantly claimed amino acid sequence of SEQ ID NO: 5, 3 or 1 and immunogenic portions thereof. See second full paragraph on page 12; and SEQ ID N: 87 depicted on page 134. The antigen is produced by a method wherein *M. tuberculosis* cells are subjected to sonication and isolating the antigen following centrifugation. See Examples 2-3; pages 9 and 134; and SEQ ID NO: 87 on page 134. Thus, the special technical of the first claimed invention is taught by the prior art, and therefore does not define over the prior art. Although the product of invention I, and the method of using the product of invention IV or the method of

making the product of invention II, is a permitted combination under PCT Rule 13.2, in the instant case, since the product is already disclosed in the art, the special technical feature is not a unifying feature. Technically, the absence of special technical feature permits the separation of the method of using or making the product from the product itself. The special technical features of the subsequently claimed inventions are delineated above. The subsequently claimed antibody of invention III and the nucleic acid of invention VI do not share significant common structure with each other or with the pili of invention I. Similarly, the methods of inventions II, IV, V, VII and VIII do not share significant common steps, products or reagents used, method objections and ultimate goals accomplished.

**6)** The Office has separated product and process claims based on restriction. Where Applicant elects claims directed to the product, and a product claim is subsequently found allowable, withdrawn process claims that depend from or otherwise include all the limitations of the allowable product claim will be rejoined in accordance with the provisions of MPEP § 821.04. *Process claims that depend from or otherwise include all the limitations of the patentable product* will be entered as a matter of right if the amendment is presented prior to final rejection or allowance, whichever is earlier. Amendments submitted after final rejection are governed by 37 CFR 1.116; amendments submitted after allowance are governed by 37 CFR 1.312.

**7)** In the event of rejoinder, the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. § 101, 102, 103, and 112. Until an elected product claim is found allowable, an otherwise proper lack of unity between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowed product claim will not be rejoined. See ‘Guidance on Treatment of Product and Process Claims in light of *In re Ochiai*, *In re Brouwer* and 35 U.S.C. § 103(b)’, 1184 O.G. 86 (March 26, 1996). Additionally, in order to retain the right to rejoinder in accordance with the above policy, Applicant is advised that the process claims should be amended during prosecution either to maintain dependency on the product claims or to otherwise include the limitations of the product claims. *Failure to do so may result in a loss of the right to rejoinder*. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

**8)** This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1 because under PCT Rule 13.2, the species lack the same or corresponding special technical features as these species do not share *a significant* common structural element. The species have mutually exclusive structural and/or antigenic or immunogenic characteristics.

(1) Amino acid sequence species: (a) SEQ ID NO: 1 (claims 14, 18 and 31); (b) SEQ ID NO: 2 (claims 15, 17, 32 and 34); (c) SEQ ID NO: 3 (claims 16, 18 and 33); and (d) SEQ ID NO: 5 (claims 15, 17, 32 and 34). See claims 1-5, 8-10 and 17 are generic.

(2) Nucleic acid species: (aa) Nucleic acid encoding SEQ ID NO: 1 (claims 19 and 23); (bb) Nucleic acid encoding SEQ ID NO: 2 (claims 20, 22, 24 and 26); (cc) Nucleic acid encoding SEQ ID NO: 3 (claims 21 and 25); and (dd) Nucleic acid encoding SEQ ID NO: 5 (claims 20, 22, 24 and 26).

(3) Antibody species: (A) Antibody to SEQ ID NO: 1 (claims 27 and 35); (B) Antibody to SEQ ID NO: 2 (claims 28, 30, 36 and 38); (C) Antibody to SEQ ID NO: 3 (claims 29 and 37); and (D) Antibody to SEQ ID NO: 5 (claims 28 and 30, 36 and 38). Claims 7 and 11-13 are generic.

**9)** Applicant is required under 35 U.S.C 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

**10)** The election of species may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the election of species requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, Applicant must indicate which of these claims are readable on the elected species.

Should Applicants traverse on the ground that the species are not patentably distinct, Applicants should submit evidence or identify such evidence now of record, showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the Examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C § 103(a) of the other invention.

**11)** Papers related to this application may be submitted to Group 1600, AU 1645 by facsimile transmission. The Fax number for submission of amendments, responses and/or papers is (571) 273-8300, which receives transmissions 24 hours a day and 7 days a week.

**12)** Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAG or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.Mov>. Should you have questions on access to the Private PAA system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (in USA and CANADA) or 571-272-1000.

**13)** Any inquiry concerning this communication or earlier communications from the Examiner should be directed to S. Devi, Ph.D., whose telephone number is (571) 272-0854. A message may be left on the Examiner's voice mail system. The Examiner can normally be reached on Monday to Friday from 7.15 a.m. to 4.15 p.m. except one day each bi-week, which would be disclosed on the Examiner's voice mail system.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Robert Mondesi, can be reached on (571) 272-0956.

/S. Devi/  
Primary Examiner  
AU 1645

March, 2009